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AUG 21 2006

109.0019

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Carfi et al.

Serial No.: 09/683,515

Filed: January 11, 2002

For: SYSTEMS AND METHODS FOR PERFORMING FINANCIAL ANALYSIS
OF PROPOSED CAPTIVE REINSURANCE OPTIONS

Group: 3626

Examiner: Pass, Natalie

Durham, North Carolina
August 16, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Amendment

Sir:

In response to the Official Action of May 19, 2006, please amend the above identified application as follows:

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Lender Captive Wizard

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Carfi et al.
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Examiner: Pass, Natalie

Durham, North Carolina
August 21, 2006Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**CERTIFICATION OF FACSIMILE TRANSMISSION**

Sirs:

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax. No. 571-273-8300 on the date set forth below.

1. Amendment Transmittal (2 pages)
2. Amendment (12 pages)

Marianna Tortorelli

Printed name of person signing

Marianna Tortorelli

Signature

Date: August 21, 2006

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Durham, North Carolina
August 21, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Amendment Transmittal

Sir:

1. Transmitted herewith is an Amendment for the above-identified application, responsive to an Office Action dated May 19, 2006.

FEE FOR CLAIMS AS AMENDED

2. ☒ No additional fee is required.
☐ The additional fee has been calculated as shown below:

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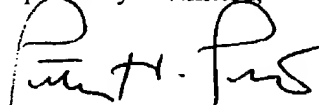
AUG 21 2006

CLAIMS AS AMENDED

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate		Additional Fee
Total Claims	20	-	20	0	x \$50.00	=	0.00
Independent Claims	3	-	3	0	x \$200.00	=	0.00
Multiple Dependent Claims		-			x \$360.00	=	
TOTAL							\$ 0.00

3. ☐ Enclosed is our check for \$ _____ to cover the filing fee.
- ☐ Charge the fee of \$ _____ to Law Offices of Peter H. Priest **Deposit Account No. 50-1058.**
- ☐ Charge the fee of \$ _____ for a 1 month extension of time to Law Offices of Peter H. Priest **Deposit Account No. 50-1058.** This letter petitions for a 1 month extension of time to respond.
4. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this amendment, including any fee for extension of time or credit any overpayment to Law Offices of Peter H. Priest **Deposit Account No. 50-1058.**

Respectfully submitted,



Peter H. Priest
 Reg. No. 30,210
 Priest & Goldstein, PLLC
 5015 Southpark Drive, Suite 230
 Durham, NC 27713-7736
 (919) 806-1600